



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jon Ocel, et al.

Examiner: Peter J. Vrettakos

Serial No.: 10/056,807

Group Art Unit: 3739

Filing Date: 01-25-2002

Docket No.: P-10412.00

Title: FLUID-ASSISTED ELECTROSURGICAL INSTRUMENT WITH SHAPEABLE ELECTRODE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

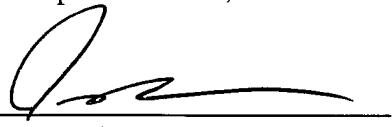
In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

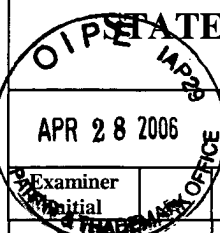
Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,

Jon Ocel, et al
By their Representatives,

By: 
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INFORMATION DISCLOSURE STATEMENT 		Atty. Docket No.: P-10412.00		Serial No.: 10/056,807		
		Applicant(s): Ocel et al				
		Filing Date: 01-25-2002		Group: 3739		
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	1,127,948	02-09-1915	Wappler			
	2,004,559	06-11-1935	Wappler et al.			
	3,470,875	10-07-1969	Johnson et al.			
	3,630,207	12-28-1971	Kahn et al.			
	3,736,936	06-1973	Basiulis et al.			
	3,807,403	04-1974	Stumpf et al.			
	3,823,575	07-1974	Parel			
	3,823,718	07-1974	Tromovitch			
	3,827,436	08-1974	Stumpf et al.			
	3,830,239	08-1974	Stumpf			
	3,859,986	01-1975	Okada et al.			
	3,862,627	01-1975	Hans, Sr.			
	3,886,945	06-1975	Stumpf et al.			
	3,901,242	08-26-1975	Storz			
	3,907,339	09-1975	Stumpf et al.			
	3,910,277	10-1975	Zimmer			
	3,913,581	10-1975	Ritson et al.			
	3,924,628	12-1975	Droegemueller et al.			
	4,018,227	04-1977	Wallach.			
	4,022,215	05-1977	Benson			
	4,043,342	08-23-1977	Morrison, Jr.			
	4,061,135	12-1977	Widran et al.			
	4,063,560	12-1977	Thomas et al.			
	4,072,152	02-1978	Linehan			
	4,082,096	04-1978	Benson			
	4,207,897	06-1980	Lloyd et al.			
	4,248,224	02-1981	Jones			
	4,275,734	06-1981	Mitchiner			
	4,278,090	07-1981	van Gerven			
	4,312,337	01-26-1982	Donohue et al.			
	4,353,371	10-12-1982	Cosman			
EXAMINER			Date Considered			
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

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U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		4,377,168	03-1983	Rzasa et al.			
		4,492,231	10-08-1985	Auth			
		4,519,389	05-1985	Gudkin et al.			
		4,590,934	05-27-1986	Malis et al.			
		4,598,698	07-1986	Siegmund			
		4,601,290	07-1986	Effron et al.			
		4,664,110	05-1987	Schanzlin			
		4,706,667	11-17-1987	Roos			
		4,732,149	03-22-1988	Sutter			
		4,736,749	04-12-1998	Lundback			
		4,779,611	10-1988	Grooters et al.			
		4,802,475	02-1989	Weshahy			
		4,815,470	03-1989	Curtis et. al.			
		4,872,346	10-1989	Kelly-Fry et. al.			
		4,916,922	04-1990	Mullens			
		4,917,095	04-1990	Fry et al.			
		4,936,281	06-1990	Stasz			
		4,940,064	07-10-1990	Desai			
		4,946,460	08-1990	Merry et al.			
		5,009,661	04-29-1991	Michelson			
		5,013,312	05-1991	Parins et al.			
		5,029,574	07-1991	Shimamura et al.			
		5,033,477	07-23-1991	Chin et al.			
		5,044,165	09-1991	Linner et al.			
		5,044,947	09-03-1991	Sachdeva et al.			
		5,071,428	12-10-1991	Chin et al.			
		5,078,713	01-1992	Varney			
		5,080,102	01-1992	Dory			
		5,080,660	01-1992	Buelina			
		5,083,565	01-28-1992	Parins			
		5,085,657	02-04-1992	Ben-Simhon			
		5,087,243	02-11-1992	Avitall			
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	5,100,388	03-1992	Behl et al.			
	5,108,390	04-1992	Potocky et al			
	5,116,332	05-26-1992	Lottick			
	5,125,928	06-30-1992	Parins et al.			
	5,147,355	09-1992	Freidman et al.			
	5,178,133	01-1993	Pena			
	5,190,541	03-02-1993	Abele et al			
	5,207,674	05-1993	Hamilton			
	5,207,691	05-04-1993	Nardella			
	5,217,860	06-1993	Fahy et al.			
	5,222,501	06-1993	Ideker et al.			
	5,224,943	07-1993	Goddard			
	5,228,923	07-1993	Hed			
	5,231,995	08-1993	Desai			
	5,232,516	08-1993	Hed			
	5,242,441	09-07-1993	Avitall			
	5,242,458	09-07-1993	Bendel et al.			
	5,250,047	10-05-1993	Rydell			
	5,250,075	10-05-1993	Badie			
	5,254,116	10-1993	Baust et al.			
	5,254,130	10-19-1993	Johnson			
	5,263,493	11-1993	Avitall			
	5,269,291	12-1993	Carter			
	5,269,326	12-14-1993	Verrier			
	5,269,780	12-14-1993	Roos			
	5,275,595	01-1994	Dobak, III			
	5,277,201	01-11-1994	Stern			
	5,281,213	01-1994	Milder et al.			
	5,281,215	01-1994	Milder			
	5,281,216	01-25-1994	Klicek			
	5,293,869	03-15-1994	Edwards et al.			
	5,295,484	03-1994	Marcus et al.			
	5,306,234	04-26-1994	Johnson			

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	5,309,896	05-1994	Moll et al.			
	5,316,000	05-1994	Chapelon et al.			
	5,317,878	06-1994	Bradshaw et al.			
	5,318,525	06-7-1994	West et al.			
	5,318,589	06-07-1994	Lichtman			
	5,322,520	06-1994	Milder			
	5,323,781	06-1994	Ideker et al.			
	5,324,255	06-28-1994	Passafaro et al.			
	5,324,284	06-28-1994	Imran			
	5,324,286	06-1994	Fowler			
	5,327,905	07-12-1994	Avitall			
	5,334,181	08-1994	Rubinsky et al.			
	5,334,193	08-1994	Nardella			
	5,353,783	10-1994	Nakao et al.			
	5,354,258	10-1994	Dory			
	5,354,297	10-11-1994	Avitall			
	5,357,956	10-25-1994	Nardella			
	5,361,752	11-1994	Moll et al.			
	5,385,148	01-1995	Lesh et al.			
	5,396,887	03-14-1995	Imran			
	5,397,304	03-14-1995	Truckai			
	5,397,339	03-14-1995	Desai			
	5,400,770	03-1995	Nakao et al.			
	5,400,783	03-28-1995	Pomeranz et al.			
	5,403,309	04-1995	Coleman et al.			
	5,403,311	04-1995	Abele et al.			
	5,405,376	04-1995	Mulier et al.			
	5,409,483	04-1995	Campbell et al.			
	5,423,807	06-1995	Milder			
	5,423,811	06-1995	Imran et al.			
	5,427,119	06-27-1995	Swartz et al.			
	5,429,131	07-04-1995	Scheinman et al.			
	5,429,636	07-04-1995	Shikhman et al.			

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	5,433,708	07-1995	Nichols et al.			
	5,435,308	07-1995	Gallup et al.			
	5,437,651	08-1995	Todd et al.			
	5,438,302	08-01-1995	Goble			
	5,441,483	08-15-1995	Avitall			
	5,443,463	08-22-1995	Stern et al.			
	5,443,470	08-22-1995	Stern et al.			
	5,445,638	08-29-1995	Rydell et al.			
	5,449,355	09-12-1995	Rhum et al.			
	5,450,843	09-1995	Moll et al.			
	5,451,223	09-19-1995	Ben-Simhon			
	5,452,582	09-1995	Longsworth			
	5,452,733	09-26-1995	Sterman et al.			
	5,454,370	10-03-1995	Avitall			
	5,462,545	10-1995	Wang et al.			
	5,465,716	11-14-1995	Avitall			
	5,465,717	11-1995	Imran et al.			
	5,469,853	11-1995	Law et al.			
	5,472,876	12-1995	Fahy			
	5,478,309	12-1995	Sweezer et al.			
	5,478,330	12-1995	Imran et al.			
	5,480,409	01-02-1996	Riza			
	5,486,193	01-1996	Bourne et al.			
	5,487,757	01-1996	Truckai et al.			
	5,496,312	03-05-1996	Klicek			
	5,497,774	03-12-1996	Swartz et al.			
	5,498,248	03-1996	Milder			
	5,500,011	03-19-1996	Desai			
	5,500,012	03-1996	Brucker et al.			
	5,516,505	05-1996	McDow			
	5,520,682	05-1996	Baust et al.			
	5,522,788	06-04-1996	Kuzmak et al.			
	5,522,870	06-1996	Ben-Zion			

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		5,531,744	07-02-1996	Nardella et al.			
		5,536,267	07-1996	Edwards et al.			
		5,545,195	08-1996	Lennox et al.			
		5,545,200	08-1996	West et al.			
		5,549,636	08-27-1996	Li			
		5,549,661	08-1996	Kordis et al.			
		5,555,883	09-1996	Avitall			
		5,558,671	09-1996	Yates			
		5,560,362	10-1996	Silwa, Jr. et al.			
		5,562,699	11-08-1996	Heimberger et al.			
		5,562,700	10-08-1996	Huitema et al.			
		5,562,720	10-08-1996	Stern et al.			
		5,562,721	10-08-1996	Marchlinski et al.			
		5,564,440	10-15-1996	Swartz et al.			
		5,569,241	10-29-1996	Edwards			
		5,571,088	11-1996	Lennox et al.			
		5,571,119	11-05-1996	Atala et al.			
		5,571,215	11-05-1996	Sterman et al.			
		5,573,532	11-1996	Chang et al.			
		5,575,766	11-1996	Swartz et al.			
		5,575,788	11-19-1996	Baker et al.			
		5,575,805	11-19-1996	Li			
		5,575,810	11-1996	Swanson et al.			
		5,578,007	11-1996	Imran			
		5,582,609	12-1996	Swanson et al.			
		5,587,723	12-24-1996	Otake et al.			
		5,588,432	12-1996	Crowley			
		5,590,657	01-1997	Cain et al.			
		5,591,192	01-07-1997	Privitera et al.			
		5,595,183	01-1997	Swanson et al.			
		5,599,350	02-04-1997	Schulze et al.			
		5,607,462	03-1997	Imran			
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Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		5,611,813	03-18-1997	Lichtman			
		5,617,854	04-1997	Munsif			
		5,620,459	04-15-1997	Lichtman			
		5,630,837	05-1997	Crowley			
		5,632,717	05-27-1997	Yoon			
		5,637,090	09-1997	McGee et al.			
		5,642,736	07-01-1997	Avitall			
		5,643,197	07-1997	Brucker et al.			
		5,649,957	07-22-1997	Levin et al.			
		5,655,219	08-05-1997	Jusa et al.			
		5,656,029	08-1997	Imran et al.			
		5,658,278	08-1997	Imran et al.			
		5,671,747	09-1997	Connor			
		5,672,174	09-30-1997	Gough et al.			
		5,673,695	10-1997	McGee et al.			
		5,674,220	10-07-1997	Fox et al.			
		5,676,662	10-1997	Fleischhacker et al.			
		5,676,692	10-1997	Sanghvi et al.			
		5,676,693	10-1997	Lafontaine			
		5,678,550	10-1997	Bassen et al.			
		5,680,860	10-1997	Imran			
		5,681,278	10-1997	Igo et al.			
		5,681,308	10-1997	Edwards et al.			
		5,683,384	11-04-1997	Gough et al.			
		5,687,723	11-1997	Avitall			
		5,687,737	11-1997	Branham et al.			
		5,688,267	11-1997	Panescu et al.			
		5,688,270	11-18-1997	Yates et al.			
		5,690,611	11-1997	Swartz et al.			
		5,693,051	12-02-1997	Schulze et al.			
		5,697,536	12-16-1997	Eggers et al.			
		5,697,882	12-16-1997	Eggers et al.			
		5,697,925	12-1997	Taylor			
		5,697,927	12-1997	Imran et al.			
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		5,697,928	12-1997	Walcott et al.			
		5,702,359	12-30-1997	Hofmann et al.			
		5,702,390	12-30-1997	Austin et al.			
		5,702,438	12-30-1997	Avitall			
		5,709,680	01-20-1998	Yates et al.			
		5,713,942	02-1998	Stern			
		5,716,389	02-1998	Walinsky et al.			
		5,718,703	02-17-1998	Chin			
		5,718,241	02-1998	Ben-Haim et al.			
		5,718,701	02-1998	Shai et al.			
		5,720,775	02-1998	Lanard			
		5,722,402	03-1998	Swanson et al.			
		5,722,403	03-03-1998	McGee et al.			
		5,725,512	03-10-1998	Swartz et al.			
		5,728,143	03-17-1998	Gough et al.			
		5,730,074	03-1998	Peter			
		5,730,127	03-1998	Avitall			
		5,730,704	03-1998	Avitall			
		5,733,280	03-1998	Avitall			
		5,735,280	04-1998	Sherman et al.			
		5,735,290	09-1998	Nelson et al.			
		5,735,847	04-07-1998	Gough et al.			
		5,735,849	04-07-1998	Baden et al.			
		5,738,628	04-14-1998	Sierocuk et al.			
		5,740,808	04-21-1998	Panescu et al.			
		5,755,664	05-26-1998	Rubenstein			
		5,755,717	05-26-1998	Yates et al.			
		5,755,760	05-1998	Maguire et al.			
		5,759,158	06-02-1998	Swanson			
		5,769,846	06-1998	Edwards et al.			
		5,776,130	07-07-1998	Buysse et al.			
		5,782,827	07-21-1998	Gough et al.			
		5,782,828	07-1998	Chen et al.			
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		5,785,706	07-1998	Bednarek			
		H1745	08-04-1998	Paraschac			
		5,788,636	08-1998	Curley			
		5,792,140	08-1998	Tu et al.			
		5,797,906	08-25-1998	Rhum et al.			
		5,797,959	08-25-1998	Castro et al.			
		5,797,960	08-1998	Stevens et al.			
		5,800,428	09-1998	Nelson et al.			
		5,800,482	09-1998	Pomeranz et al.			
		5,800,484	09-01-1998	Gough et al.			
		5,807,393	09-15-1998	Williamson et al.			
		5,807,395	09-15-1998	Mulier et al.			
		5,810,802	09-1998	Panescu et al.			
		5,810,804	09-22-1998	Gough et al.			
		5,810,805	09-22-1998	Sutcu et al.			
		5,810,811	09-22-1998	Yates et al.			
		5,814,028	09-29-1998	Swartz et al.			
		5,817,091	10-06-1998	Nardella et al.			
		5,823,955	10-20-1998	Kuck et al.			
		5,823,956	10-20-1998	Roth et al.			
		5,827,216	10-1998	Igo et al.			
		5,829,447	11-03-1998	Stevens et al.			
		5,836,947	11-1998	Fleischman et al.			
		5,840,030	11-1998	Ferek-Petric et al.			
		5,842,984	12-01-1998	Avitall			
		5,843,075	12-01-1998	Taylor			
		5,843,122	12-01-1998	Riza			
		5,844,349	12-1998	Oakley et al.			
		5,846,187	12-1998	Wells et al.			
		5,846,191	12-1998	Wells et al.			
		5,846,238	12-08-1998	Jackson et al.			
		5,849,011	12-15-1998	Jones et al.			
		5,849,020	12-15-1998	Jones et al.			
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		5,849,028	12-1998	Chen			
		5,853,411	12-29-1998	Whayne et al.			
		5,855,590	01-05-1999	Malecki et al.			
		5,855,614	01-05-1999	Stevens et al.			
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INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: P-10412.00		Serial No.: 10/056,807
	Applicant(s): Ocel et al		
	Filing Date: 01-25-2002		Group: 3739
OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)			
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